

VIND SHARES LTD.

Policy on Code Modification

- We shall not make any client code modification in the back office without following the approved procedure prescribed by the Exchange for code change.
- The code changes will be undertaken only for the correcting the mistakes made by the technical operator if it is learned well within the time limit permitted by the Exchange.
- Rigorous training will be imparted to each and every technical operator and if he/se continues to make mistake, warning is to be issued.
- As soon as the code change request is received, it is checked & ascertained as to who has committed the mistake and reported to the management and after obtaining verbal approval, the code changes are quickly carried out. The following shall be considered as genuine error with regard to client code modification:
 - Error due to communication and/or punching or typing such that the original client code/name and the modified client code/name are similar to each other.
 - Modification within relatives ('Relative' for this purpose would mean "Relative" as defined under the Companies Act, 2013).
- We shall keep record of code changes and mistakes made by repetitive terminal operator to ensure such mistakes are minimized.
- Code changes module is not used any purpose other than this.
- We shall disclose the client codes which are classified as 'Error Accounts' to the Exchange at the time of UCC upload.
- We shall periodically review the trades flowing to the 'Error Account'.